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# **Spatial-Color Pixel Classification by Spectral Clustering for Color Image Segmentation**

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# Summary

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## ■ Context

- Segmentation by pixel classification
- Similarity between pixels

## ■ Similarity matrix between colors

- Spatial-color compactness degree
- Similarity matrix

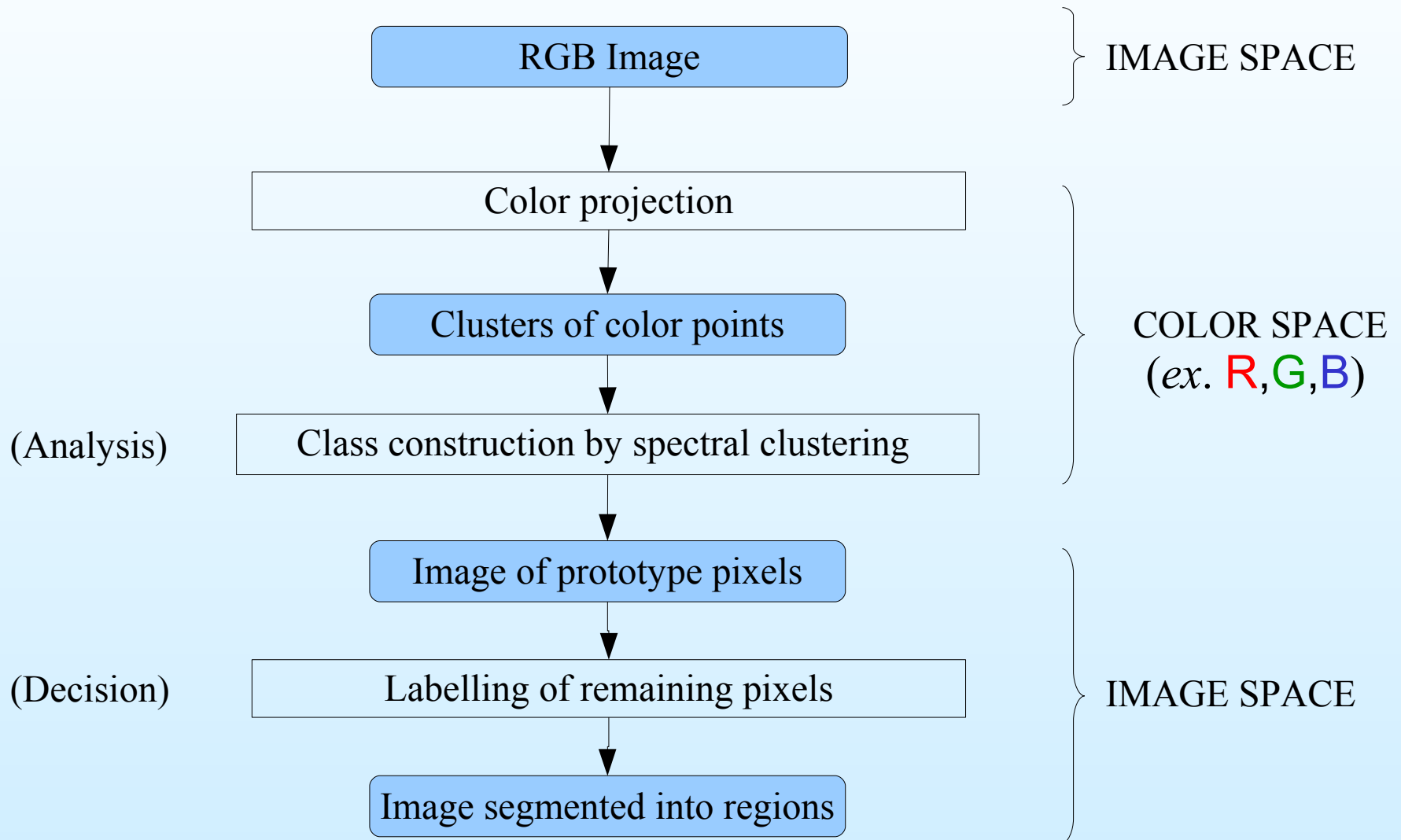
## ■ Spectral clustering

- A clustering method based upon the spectral decomposition of a similarity matrix
- Algorithms

## ■ Experimental results

## ■ Conclusions and future work

# Segmentation by pixel classification

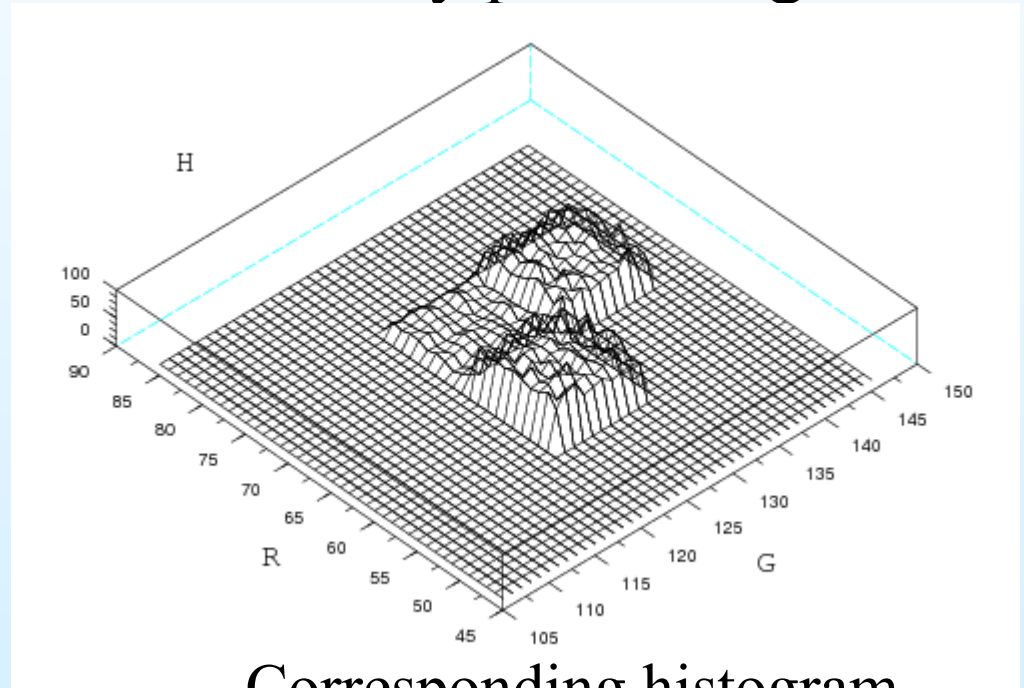


# Histogram limitations

- Histogram shortcomings for segmentation
  - ◆ Overlapping distributions  $\Rightarrow$  tricky processing



Synthetic image



Corresponding histogram

- ◆ No information about the location of colors within the image
  - $\Rightarrow$  integrate homogeneity *and* connectedness

# Similarity between pixels(1)

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- Colors of pixels P,Q:  $RGB(P), RGB(Q)$
- Spatial locations of P,Q:  $XY(P), XY(Q)$
- How combine spatial locations and colors?
- Classical technique:
  - $\Phi(P, Q) = \alpha \cdot e^{-(RGB(P) - RGB(Q))^2} \cdot \beta \cdot e^{-(XY(P) - XY(Q))^2}$
- Very high size of the matrix
- Solution: square blocs of pixels

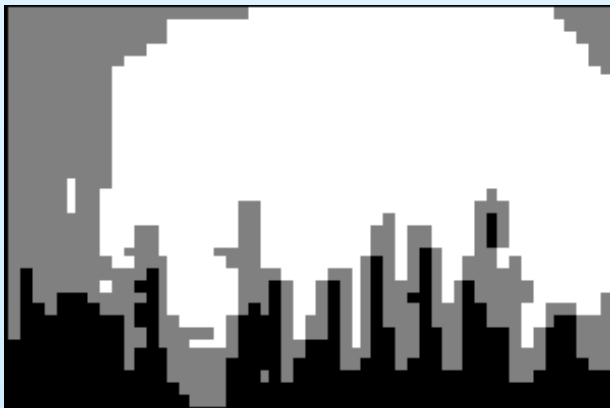
# Similarity between pixels(2)

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Image



Spectral  
clustering

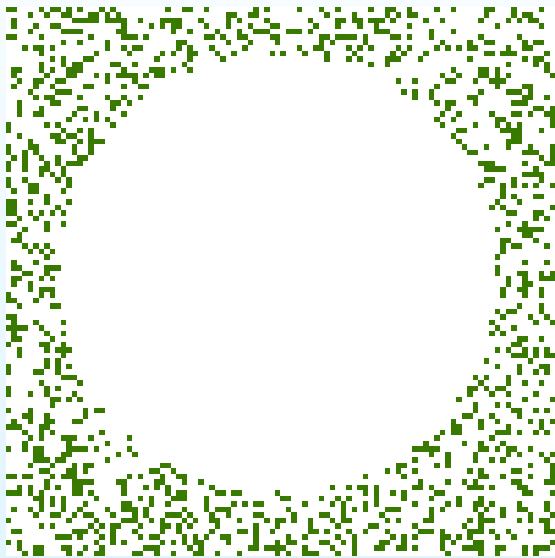


# Summary

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- Context
  - Segmentation by pixel classification
  - Similarity between pixels
- **Similarity matrix between colors**
  - Spatial-color compactness degree
  - Similarity matrix
- Spectral clustering
  - A clustering method based upon the spectral decomposition of a similarity matrix
  - Algorithms
- Experimental results
- Conclusions and future work

# Spatial color compactness degree (1)



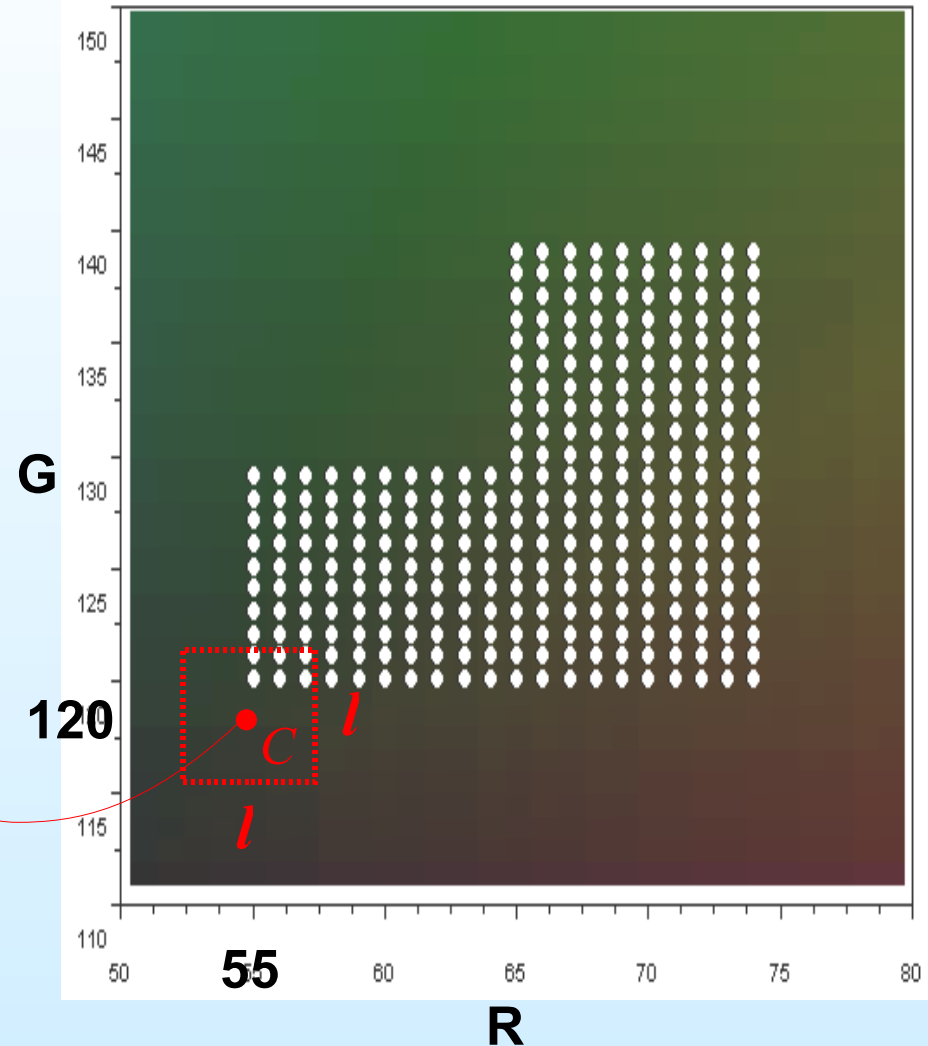
## ■ Definitions

- ◆ Color point

$$C = [c^R, c^G, c^B]^T$$

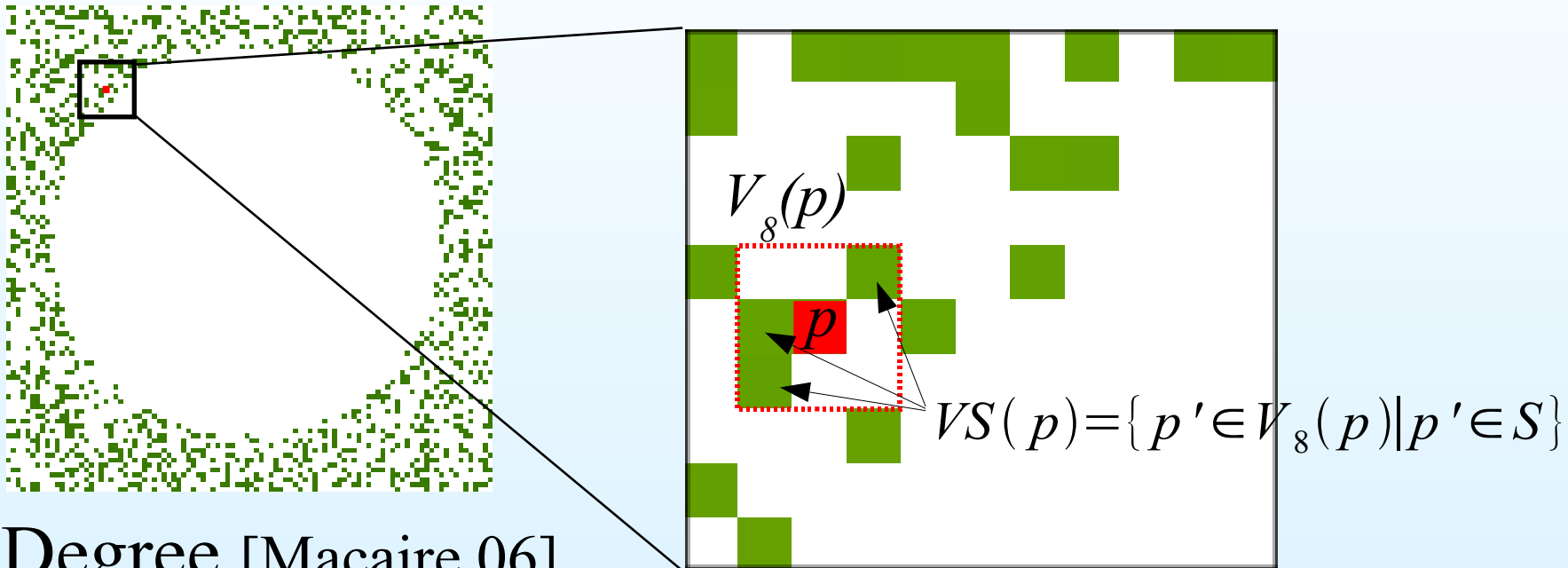
- ◆ Color domain  $D_l(C)$

- ◆ Pixel subset  $S_l(C)$



Color space C

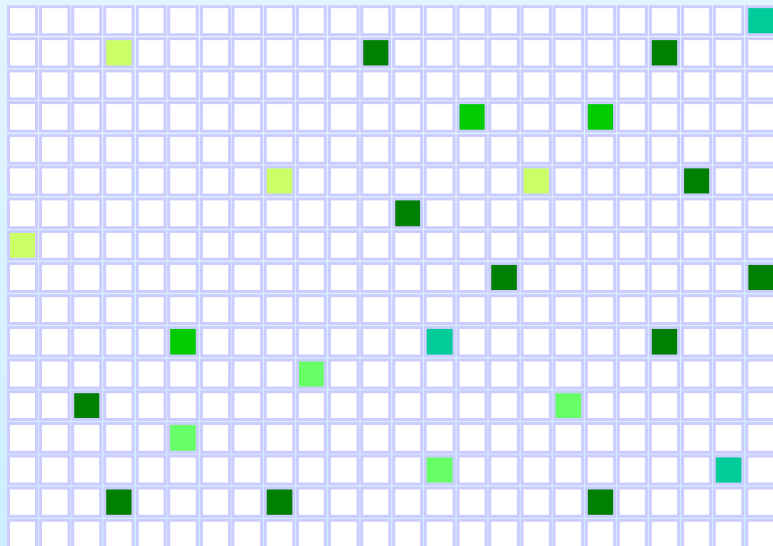
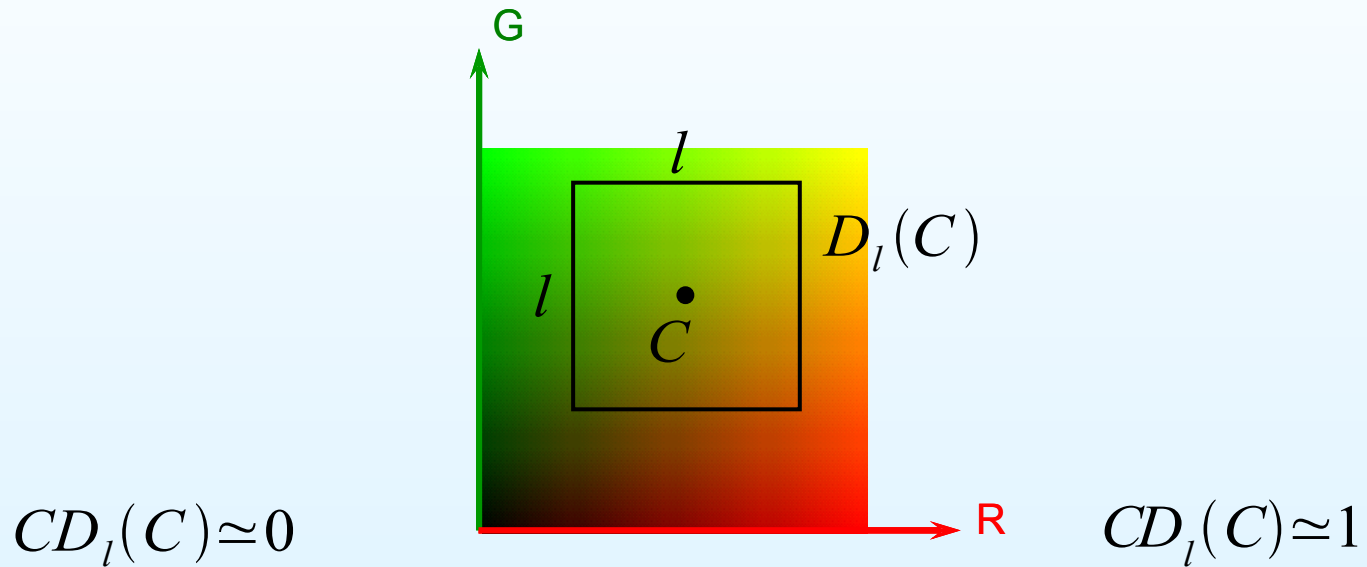
# Spatial color compactness degree (2)



- Degree [Macaire 06]
  - ◆ of spatial connectedness

$$CD_l(C) = \frac{1}{|S_l(C)|} \sum_{p \in S_l(C)} \frac{|VS(p)|}{8}$$

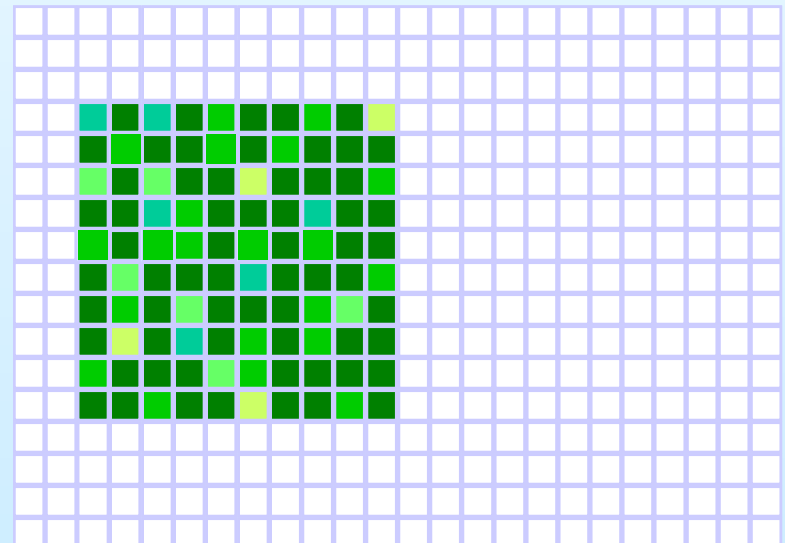
# Spatial color compactness degree (2)



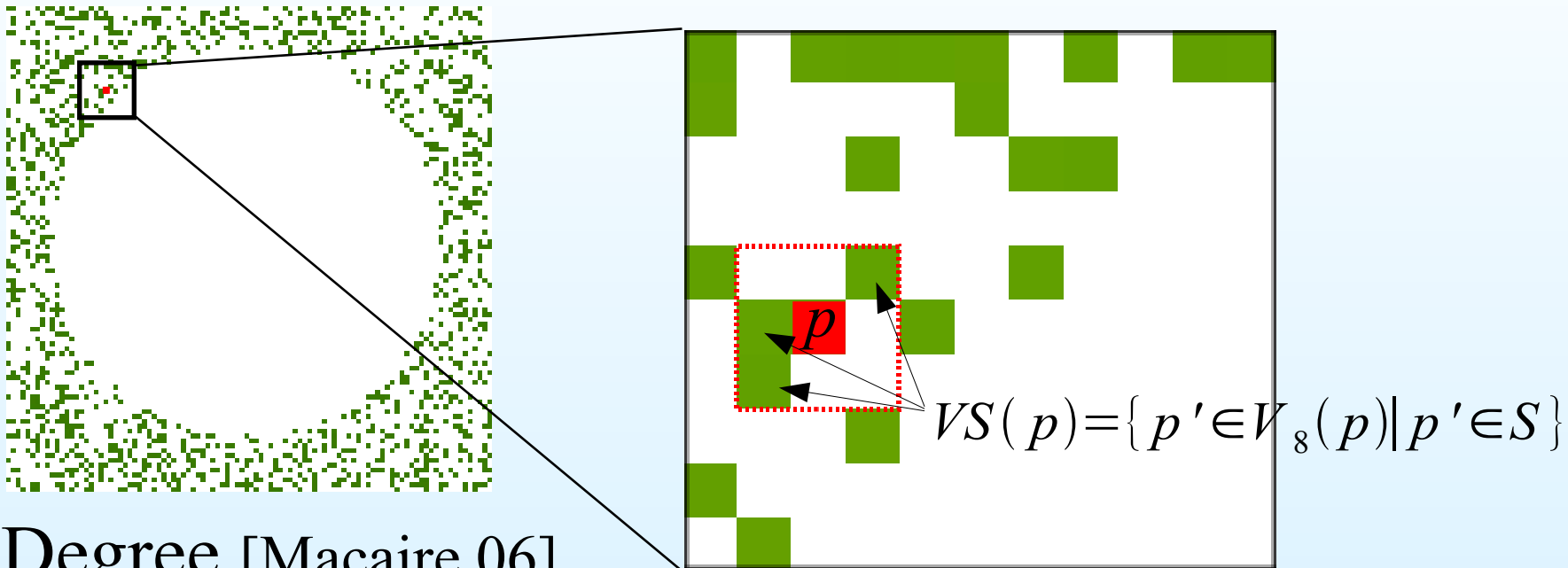
$S_l(C)$   
in case  
image

$\Leftarrow 1$

$2 \Rightarrow$



# Spatial color compactness degree (3)



- Degree [Macaire 06]
  - ◆ of color homogeneity

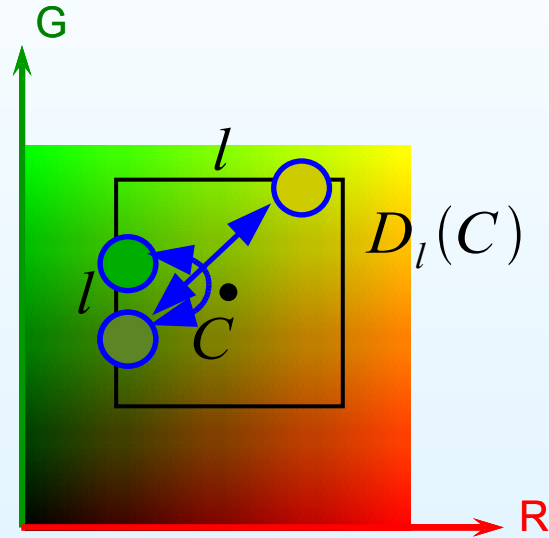
$$HD_l(C) = \frac{\text{average local color dispersion of } S_l(C)}{\text{global color dispersion of } S_l(C)}$$

$$= \frac{\overline{\sigma_{VS}}}{\sigma_S} = \frac{1}{|S_l(C)|} \sum_{p \in S_l(C)} \sigma_{VS}(p)$$

# Spatial color compactness degree (3)

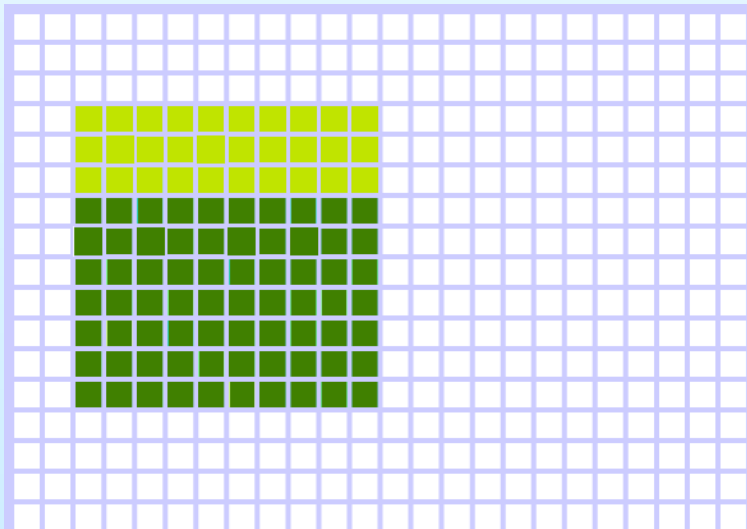
$$\overline{\sigma_{VS}} \ll \sigma_S$$

$$\rightarrow HD_l(C) \simeq 0$$



$$\overline{\sigma_{VS}} \simeq \sigma_S$$

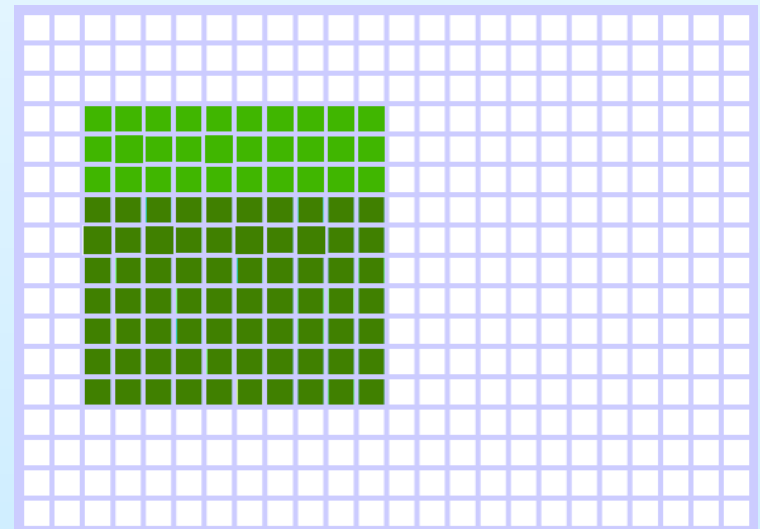
$$\rightarrow HD_l(C) \simeq 1$$



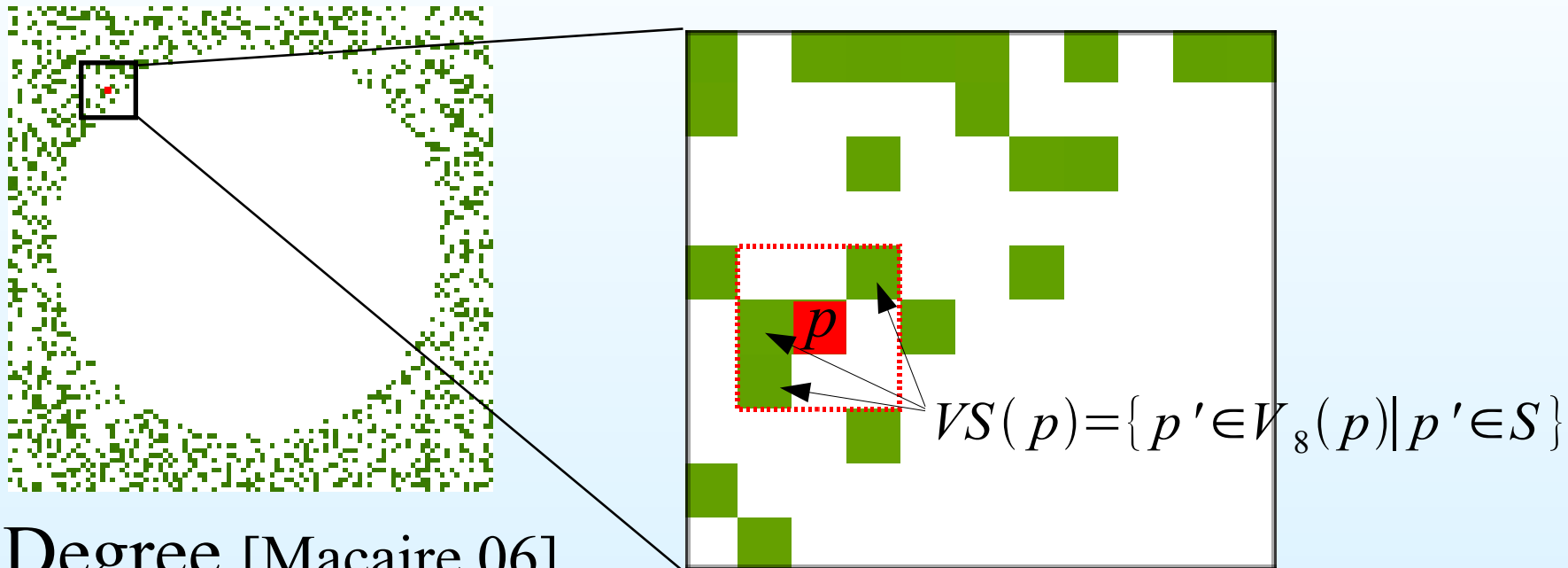
$S_l(C)$   
in case  
image

$\Leftrightarrow 1$

$2 \Rightarrow$



# Spatial color compactness degree (4)



- Degree [Macaire 06]
  - ◆ of spatio-color compactness

$$SCCD_l(C) = CD_l(C) \times HD_l(C)$$

$SCCD_l(C) \simeq 1^- \Leftrightarrow$  pixels in  $S_l(C)$  highly connected and their color points concentrated in  $\mathcal{C}$

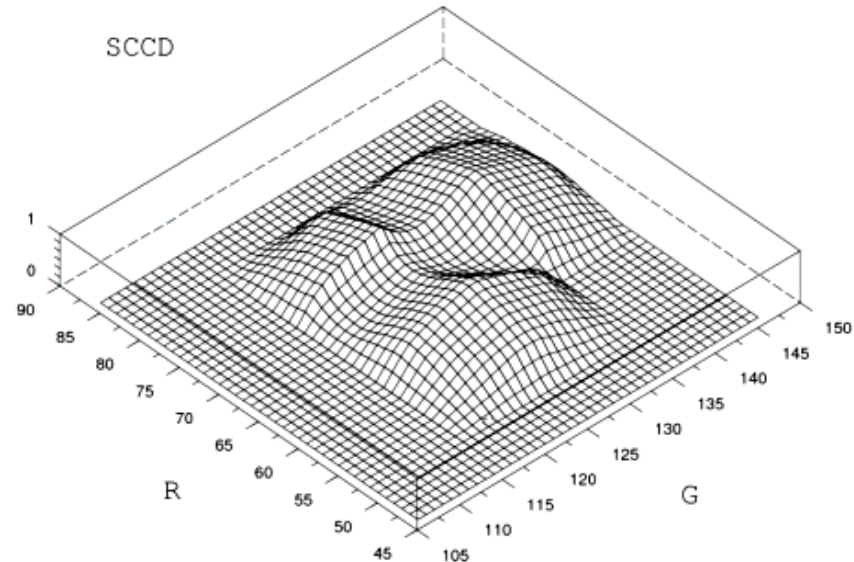
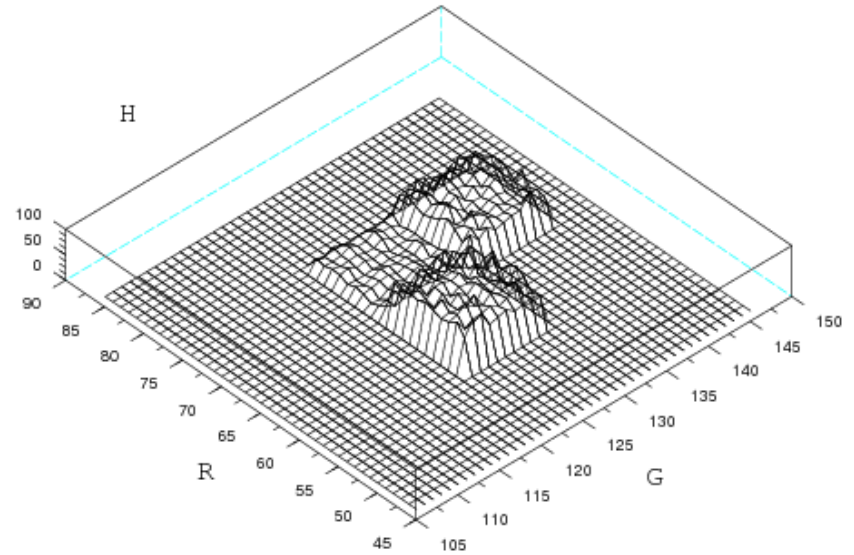
$SCCD_l(C) \simeq 0^+ \Leftrightarrow$  pixels in  $S_l(C)$  scattered or/and their color points dispersed in  $\mathcal{C}$

# Spatial color compactness degree (5)

Histogram



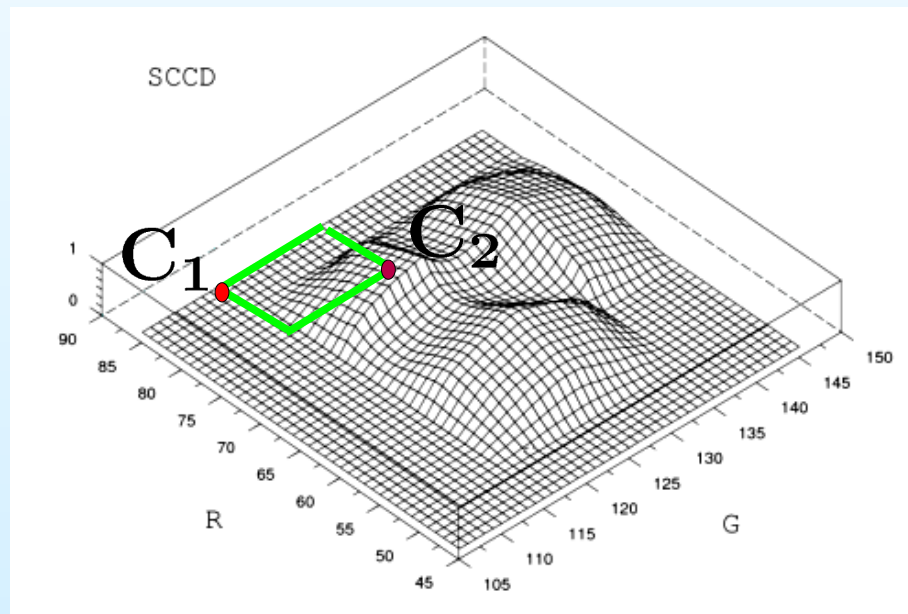
SCCD



# Similarity matrix (1)

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$$\Phi(\mathbf{C}_1, \mathbf{C}_2) = \min_{\mathbf{C} \in \underline{D}(\mathbf{C}_1, \mathbf{C}_2)} SCCD(\mathbf{C}, l)$$

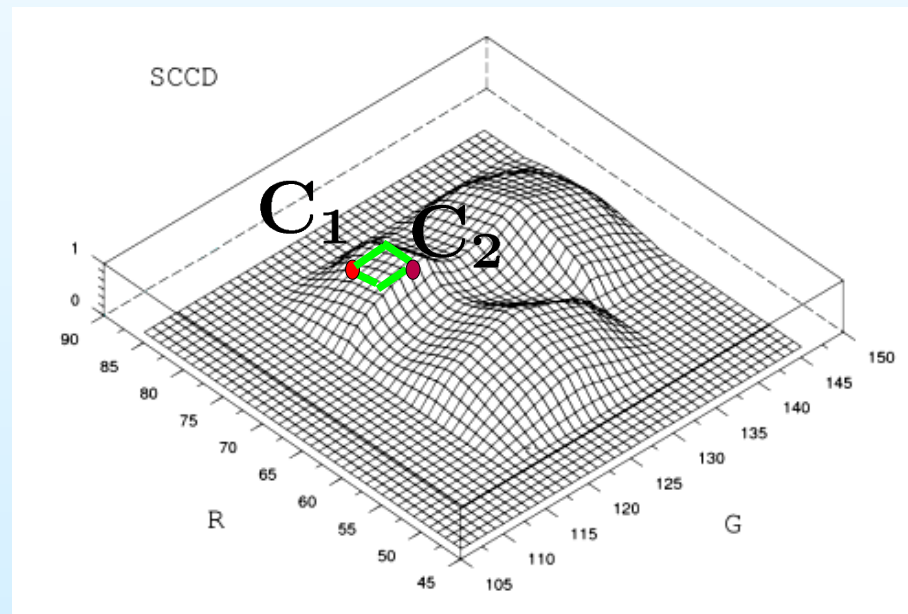


$\Phi(\mathbf{C}_1, \mathbf{C}_2)$  close to 0

# Similarity matrix (2)

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$$\Phi(\mathbf{C}_1, \mathbf{C}_2) = \min_{\mathbf{C} \in \underline{D}(\mathbf{C}_1, \mathbf{C}_2)} SCCD(\mathbf{C}, l)$$



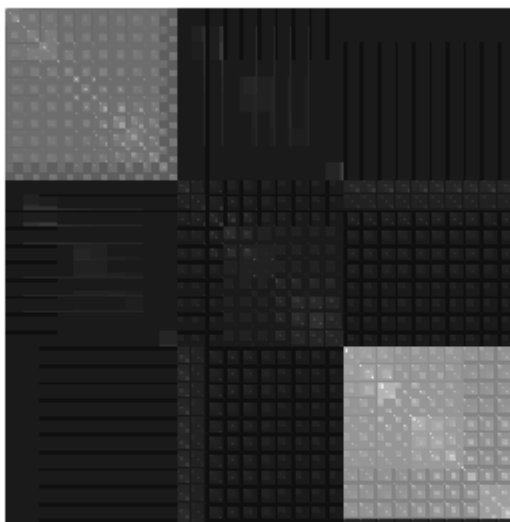
$\Phi(\mathbf{C}_1, \mathbf{C}_2)$  close to 1

# Similarity matrix (3)

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$C_2$

$C_1$



# Summary

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## ■ **Spectral clustering**

- A clustering method based upon the spectral decomposition of a similarity matrix
- Algorithms

## ■ Experimental results

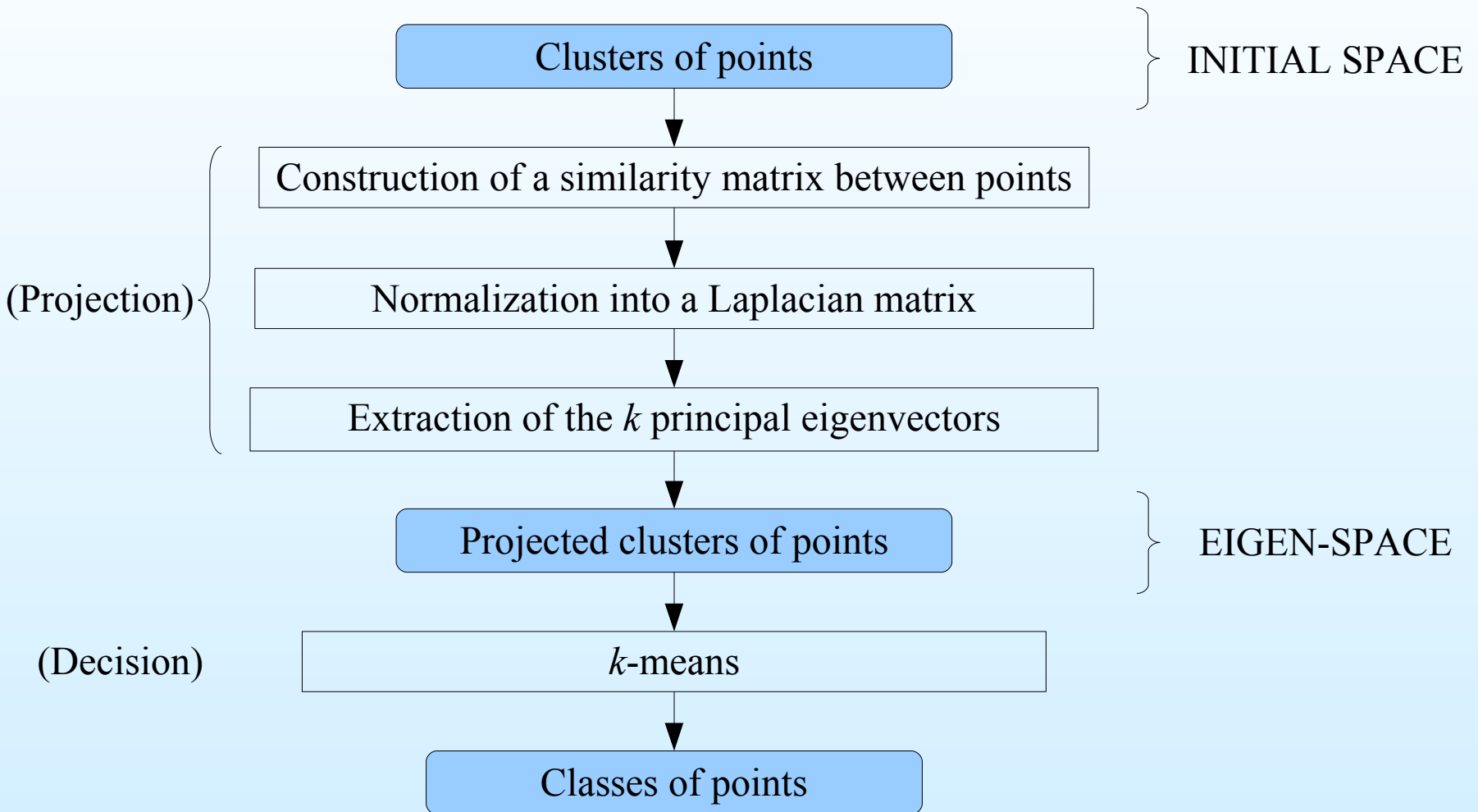
## ■ Conclusions and future work

# Spectral clustering overview (1)

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- Clustering based upon the spectral decomposition of a similarity matrix.
- Derived from the graph theory with the minimized cuts problems.
- Main advantages:
  - ◆ Ability to recognize non-convex clusters.
  - ◆ Non-constrained measurement of the similarity matrix between points.
  - ◆ Optimization procedure without any local minima.

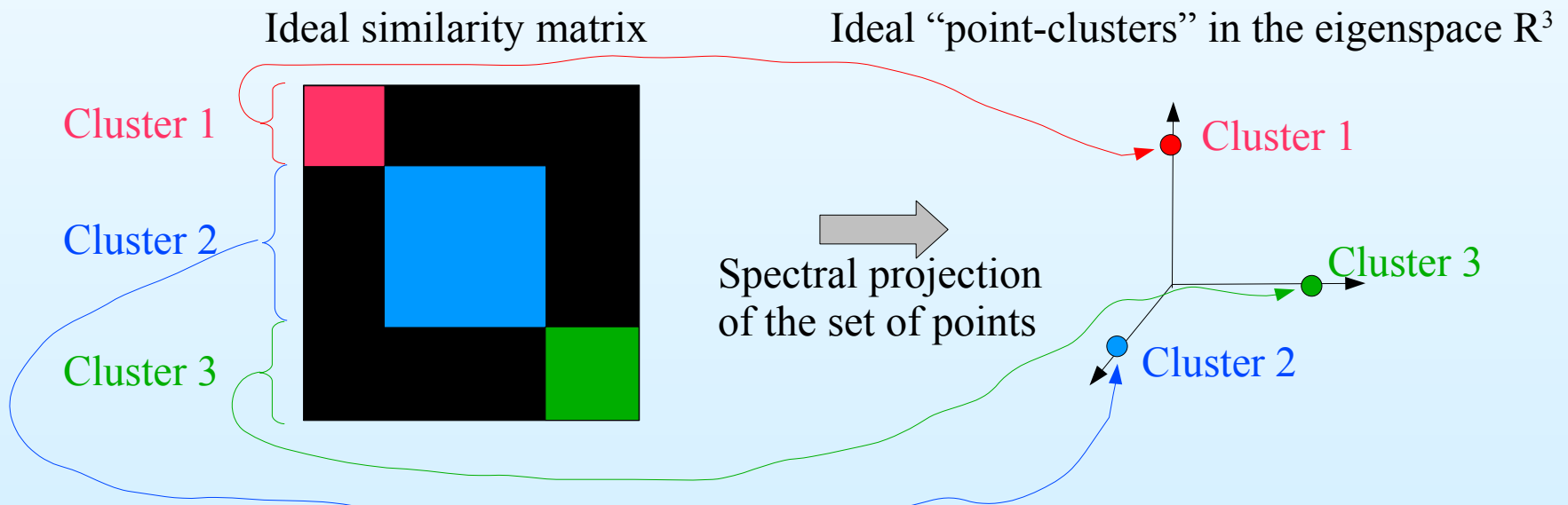
# Spectral clustering overview (2)



# Spectral clustering justifications (1)

## ■ Ng's Algorithm

- ◆ Ideal case when a permutation of points induces a block-diagonal similarity matrix.

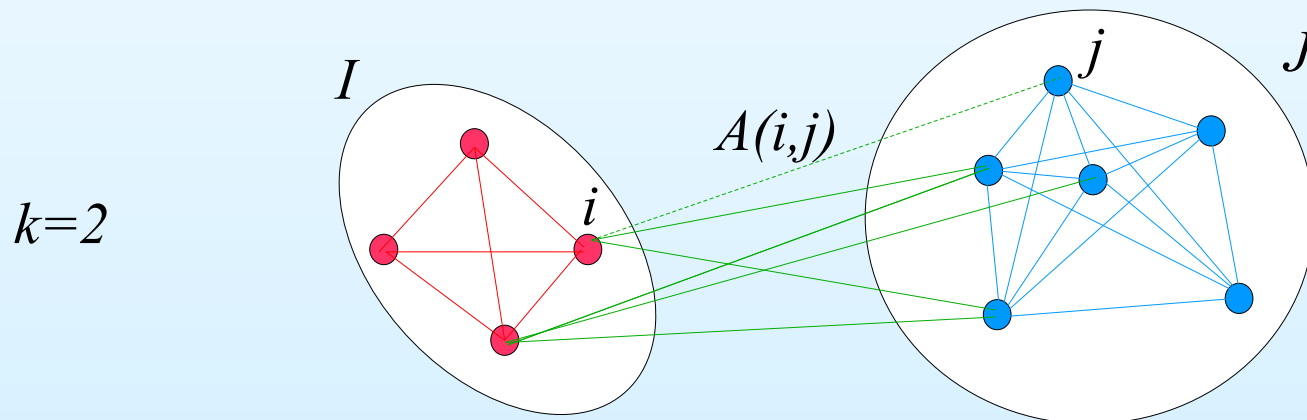


- ◆ Non-ideal case: proof of a good robustness to some perturbations of the matrix similarity.

# Spectral clustering justifications (2)

## ■ Shi's algorithm

- ◆ Recursive bi-classes or  $k$ -classes clustering.
- ◆ Eigenvectors solve a relaxed version of the *normalized-cut* criterion.



$$Cut(I, J) = \sum_{i \in I, j \in J} A(i, j)$$

$$Assoc(I) = \sum_{x \in I, y \in I} A(x, y)$$

$$NCut(I, J) = \frac{Cut(I, J)}{Assoc(I, I \cup J)} + \frac{Cut(I, J)}{Assoc(J, I \cup J)}$$

# Ng's algorithm

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- ◆ Build a similarity matrix  $A$ :  $A(i, j) \in [0, 1]$
- ◆ Process the diagonal matrix  $D$  by:  $D(i, i) = \sum_j A(i, j)$
- ◆ Define the Laplacian matrix  $L$ :  $L = D^{-1/2} A D^{-1/2}$
- ◆ Extract the  $k$  principal eigenvectors of  $L$ :  $\{X_1, \dots, X_k\}$
- ◆ Compute the matrix  $Y$  by normalizing each line of  $X$ :

$$Y_{ij} = X_{ij} / \sum_m X_{im}^2$$

- ◆ Apply a  $k$ -means on the set of points  $C_i$  whose coordinates are:  $Y(i, m), m \in \{1, \dots, k\}$

# Shi's algorithm

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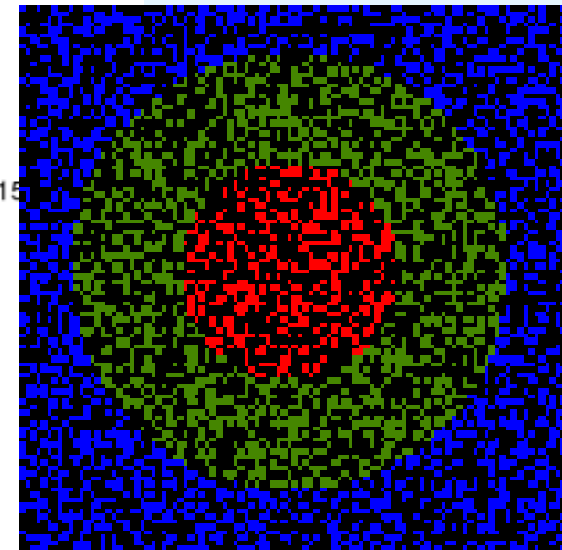
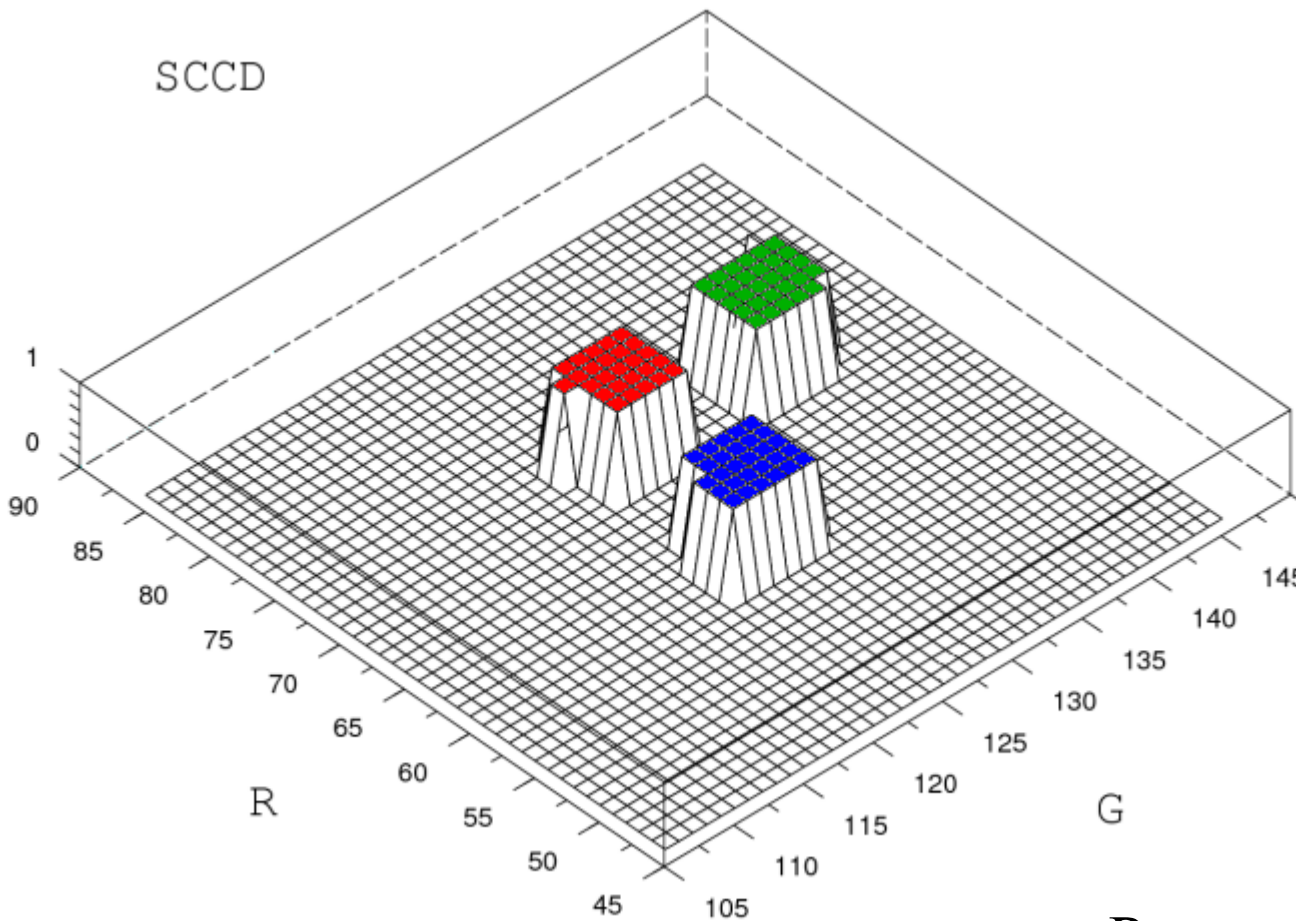
- ◆ Non-recursive version: “*k-way cut*” clustering.
- ◆ Eigenvectors are not normalized, but weighted:

$$Y = D^{-1/2} X$$

- ◆ In our algorithm, we adopt this weighting in order to avoid Ng's normalization.

# Experimental results (1)

- Example



Prototype pixels

# Final labelling (1)

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- Assignment of unlabelled pixels
  - ◆ Credal filter [Vannoorenberghe 08]
    - Framework : belief functions theory
    - Measures
      - membership degree of a given pixel to each class
      - doubt degree of pixel assignment
    - Doubt degree of pixel assignment
      - increases / min. distance between its color and the class centers
      - decreases / number of its neighbors assigned to the same class

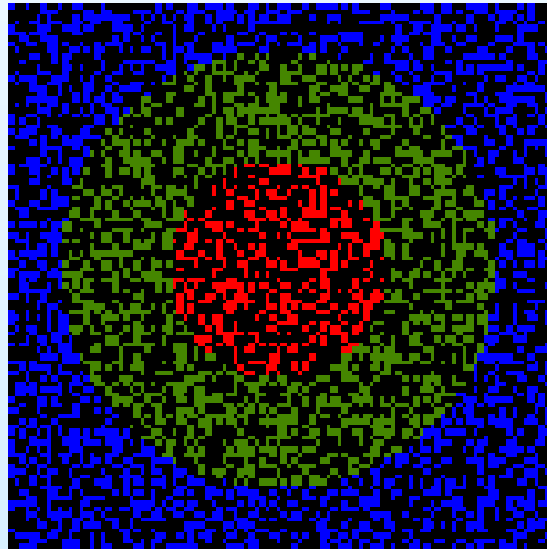
# Final labelling (2)

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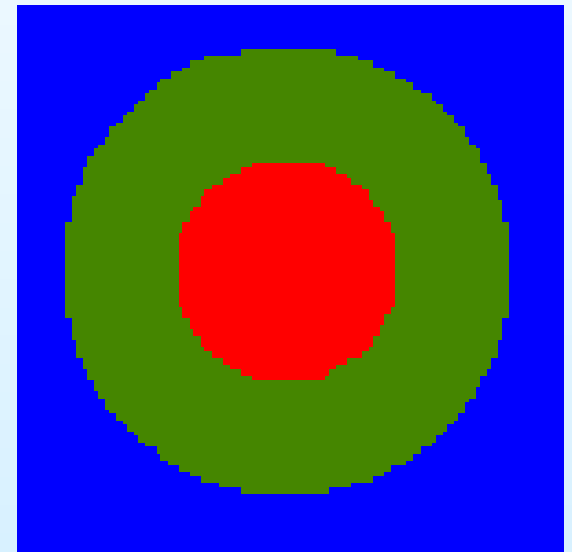
- Assignment of unlabelled pixels
  - ◆ Result on the synthetic image



Original image



Prototype pixels

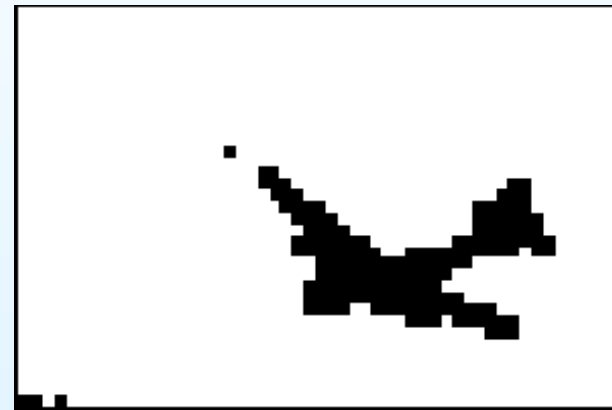


Final segmentation

# Experimental results(2)

Natural image : '*Plane*' (Berkeley #3096)

Image



Robust  
path-based  
spectral  
clustering  
[Chang 2008]

Spectral  
clustering



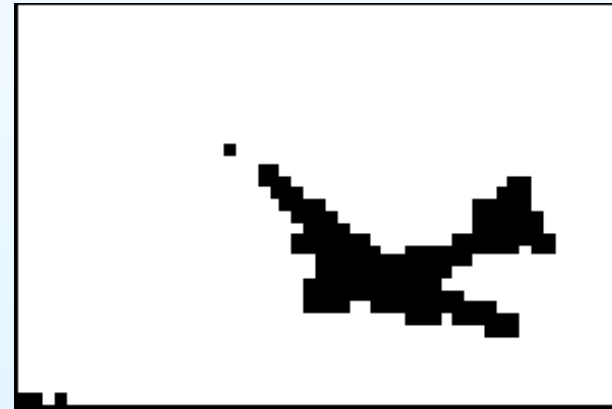
Spatial-color  
spectral  
clustering

# Experimental results(2)

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Natural image : '*Plane*' (Berkeley #3096)

Image



Robust  
path-based  
spectral  
clustering  
[Chang 2008]

Spectral  
clustering

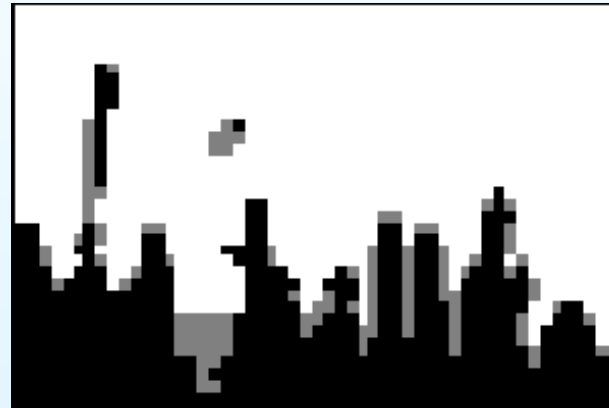


Spatial-color  
spectral  
clustering

# Experimental results(2)

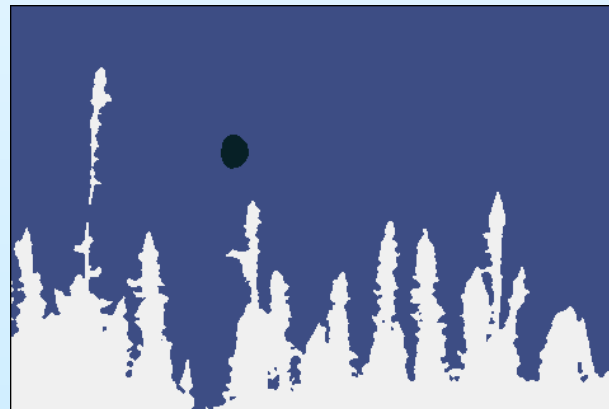
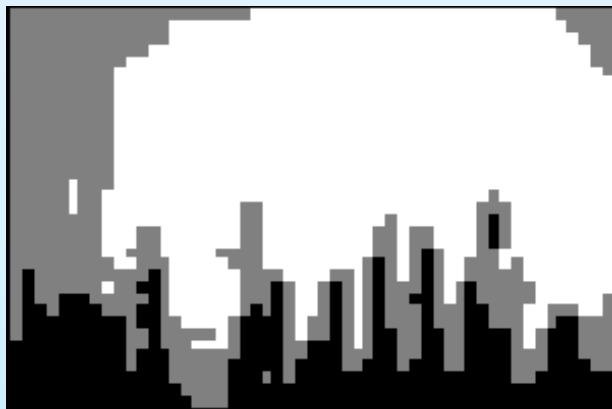
Natural image : '*Moon*' (Berkeley #238011)

Image



Robust  
path-based  
spectral  
clustering  
[Chang 2008]

Spectral  
clustering



Spatial-color  
spectral  
clustering

# Conclusions and future work

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- Advantages of this approach
  - ◆ Ability to separate distributions with large color overlapping.
  - ◆ Similarity matrix between colors with homogeneity and connectedness.
  - ◆ Spectral clustering without gaussian filtering.
- Shortcomings and prospects
  - ◆ Influence of the color space.
  - ◆ Similarity function .
  - ◆ Adaptation of the spectral clustering.